


13281 U.S. PTO  
032404

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	3802-090-27 CIP
	First Inventor or Application Identifier	Karin JOOSS
	Title	CYTOKINE-EXPRESSING CELLULAR VACCINE COMBINATIONS

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p><input type="checkbox"/> Applicant claims small entity status.</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <b>81</b></p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>25</b></p> <p>4. <input type="checkbox"/> Oath or Declaration Total Pages <b>    </b></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<b>ACCOMPANYING DOCUMENTS</b> <p>6. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>10. <input type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>13. <input type="checkbox"/> Request for Priority</p> <p>14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses</p> <p>15. <input type="checkbox"/> Other:</p>
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application no.: 10/327,869 filed December 26, 2002</p> <p>Prior application information: Examiner: Unassigned Group Art Unit: 1614</p>	
<p>17. Amend the specification by inserting before the first line the sentence:</p> <p><input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input checked="" type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 10/404,662 Filed on April 2, 2003.</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<b>18. CORRESPONDENCE ADDRESS</b> Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085	

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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	March 24, 2004
Name	Lisa K. Norton	Registration No.	44,977

DOCKET NO. 3802-090-27 CIP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Karin JOOSS, et al.

ART UNIT:

SERIAL NO.: New Continuation-in-Part Application

EXAMINER:

FILING DATE: Herewith

FOR: CYTOKINE-EXPRESSING CELLULAR VACCINE COMBINATIONS

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

- |  |   |
|--|---|
| 1) Karin JOOSS<br>12200 SE 10th Street<br>Bellevue, Washington 98005       | 5) Sandra AUNG<br>1865 Golden Gate Avenue<br>Apartment 1<br>San Francisco, California 94115 |
| 2) Jennifer CRESON<br>10 9th Avenue<br>#102<br>San Mateo, California 94401 | 6) Marina MOSKALENKO<br>79 Outlook Circle<br>Pacifica, California 94044                     |
| 3) Betty LI<br>212 Denslowe Drive<br>San Francisco, California 94132       | 7) Thomas DU<br>582 San Mateo Avenue<br>Apartment B<br>San Bruno, California 94066          |
| 4) Rodney PRELL<br>838 33rd Street<br>Richmond, California 94804           |   |

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP



Steven B. Kelber (30,073)  
Attorney of Record

Lisa K. Norton (44,977)

1200 Nineteenth Street, N.W.  
Washington, D.C. 20036-2412  
Telephone No.: (202) 861-3900  
Facsimile No. (202) 223-2085

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# FEE TRANSMITTAL

Docket No.	3802-090-27 CIP
Serial No.	New Continuation-in-Part Application
Filing Date	Herewith
Inventor(s)	Karin JOOSS, et al.
Group Art Unit	
Examiner	

TOTAL AMOUNT OF PAYMENT \$1,314.00

1. ☐ Applicant claims small entity status.
- ☐ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge-late filing fee or oath	\$130.00

### 1. BASIC FILING FEE

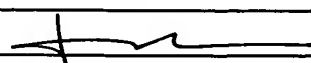
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English Specification	
1251	110	2251	55	1-mo. ext. of time	
1252	420	2252	210	2-mo. ext. of time	
1253	950	2253	475	3-mo. ext. of time	
1254	1480	2254	740	4-mo. ext. of time	
1255	2010	2255	1005	5-mo. ext. of time	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Appeal Brief	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	\$770.00
1002	340	2002	170	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					\$770.00

### 2. EXTRA CLAIM FEES

tot. claims	43	-	20*	=	23	x	\$18	=	414	1501	1330	2501	665	Utility/Reissue Issue Fee	
ind. claims	2	-	3*	=	0	x	\$86	=	0	1502	480	2502	240	Design Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims				\$290	=				1504	300	1504	300	Publication Fee	
Large Entity		Small Entity		Fee Description		Fee Code		Fee (\$)		Fee Code		Fee (\$)		Fee Description	
1202	18	2202	9	Claims in excess of 20		1806	180	1806	180	1806	180	1806	180	IDS Submission	
1201	86	2201	43	Independent claims in excess of 3		8021	40	8021	40	8021	40	8021	40	Assignment recordation	
1203	290	2203	145	Multiple dependent claim, if not paid		1801	770	2801	385	1801	770	2801	385	For Filing RCE	
1204	84	2204	43	*Reissue independent claims over original patent		1814	110	2814	55	1814	110	2814	55	Terminal Disclaimer	
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):									

SUBTOTAL (2)					\$414.00										
* or number previously paid, if greater; For Reissues, see above										SUBTOTAL (3)			\$130.00		

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	March 24, 2004
Name	Lisa K. Norton	Registration No.	44,977
		Telephone	202-861-3900